

Office of Self Governance

FINANCIAL TRAINING

FEBRUARY 4TH, 2015
SAN DIEGO, CA

Content

- [Self Governance in the Greenbook](#)
- [How Tribal Priority Allocation \(TPA\) Base works](#)
- [Implementation of Across the Board \(ATB\) Rescissions and Sequester](#)
- [New Changes on Self Governance Financial Operations](#)
- [Self Governance Database \(SGDB\)](#)

Self Governance (SG) in the Greenbook

- Where to find the 2014 Greenbook online
<http://www.bia.gov/DocumentLibrary/index.htm>
- SG in the Indian Affairs Organizational Chart
IA-GS-6 (Pdf page 14)
- Self Governance Compacts (TPA) total funding
IA-ST-2 (Pdf page 38) *Shows location of funds*

Self Governance (SG) in the Greenbook...cont

- SG in the Indian Affairs Strategic Objective
IA-SOPS-13 (Pdf page 63)
- Self Governance Authorizing Statutes
IA-AUTH-2 (Pdf page 68)
- Self Governance Compacts (TPA) total funding
IA-OIP-2 (Pdf page 82) *Shows change from previous FY*

Self Governance (SG) in the Greenbook...cont

- Self Governance in Tribal Government
IA-TG-1 (Pdf page 91) *Notes SG funding breakout Appendix*
- Self Governance Program Overview
IA-TG-4 (Pdf page 94)
- Self Governance Operational Budget (Admin.)
IA-ADM-1 (Pdf page 235) *Notes changes from previous FY*
OSG Admin Budget is under Assistant Secretary Support (\$1.5M) IA-ADM-5

Self Governance (SG) in the Greenbook...cont

- Self Governance Compacts Participation
Appendix 7 (Pdf page 601)
- Self Governance Compacts by Tribe/Consortia
Appendix 8 (Pdf page 605)
- Law Enforcement Base funding for SG Tribes
Appendix 11-4 (Pdf page 670)
Detention/Corrections starts on Appendix 11-6 (Pdf page 672)



How Tribal Priority Allocation (TPA) Base work

When a new Tribe joins Self Governance, a TPA Base is established by transferring funding from BIA to OSG for the programs selected by the Tribe.

Funding available for transfers is identified in the Greenbook by the OSG Negotiator, the Tribe and BIA staff. Also, OSG Finance participates when needed. *(Appendix 5 starting pdf page 553-2014 Greenbook)*

How Tribal Priority Allocation (TPA) Base work...cont

SG Reprogramming Request – First Year Tribe Joins Self Governance

Self Governance 2014 Funding Agreement - Reprogramming Request
 Tribe: _____ Office of Self-Governance
 BIA Tribal Organization Code: _____
 OSG Tribal Contract Code: _____
 BIA Area Office: _____
 BIA Agency Office: _____

Line Item	Program Title	Cost Code	Info Tribal Share	A OSG Cumulative Base	B OSG Shortfall Base	C OSG Shortfall Request	D BIA Reprogram Request	E=A+B+C+D	FN
23	Tribal Management Improvement Project (TIP) - NCH TPA	A3A00	0	0	0	0	0	0	0
45	Economic Development - NCH TPA	C8010	0	0	0	0	0	0	0
46	Job Placement and Training - TPA/Tribal	C1020	33,469	0	0	0	0	0	0
51	Economic Development - TPA/Tribal	C9110	0	0	0	0	10,330	10,330	1
52	Education Line Officers - NCH TPA	E5030	0	0	0	0	1,937	1,937	2
55	Law Enforcement - TPA/Tribal	E6940	0	0	0	0	23,245	23,245	2
56	Tribal Law Enforcement - TPA/Tribal	E6910	0	0	0	0	58,112	58,112	2
58	Tribal Adult Education - TPA/Tribal	E9120	0	0	0	0	15,496	15,496	2
75	Social Services - NCH TPA	H6010	0	0	0	0	0	0	0
76	Housing Development - NCH TPA	H6030	0	0	0	0	0	0	0
77	Social Services - TPA/Tribal	H6010	0	0	0	0	2,807	2,807	3
78	Social Services - TPA/Tribal	H6010	0	0	0	0	80,894	80,894	4
80	Welfare Assistance - TPA/Tribal	H6130	0	0	0	0	23,278	23,278	5
83	Indian Child Welfare Act - TPA/Tribal	H6220	0	0	0	0	53,462	53,462	4
84	Housing Improvement Program - TPA/Tribal	H6370	0	0	0	0	0	0	6
90	Criminal Investigations/Police Service - NCH TPA	J0000	0	0	0	0	529,809	529,809	7

How Tribal Priority Allocation (TPA) Base work...cont

During the budget formulation process, OSG Finance submits the Tribal requested transfers to be included in the next FY Budget (Reprogramming Request column D).

Once we have a full budget for the FY after the Tribe joins Self Governance, the SG Reprogramming Request will show the funding under column A at the level enacted by Congress.

How Tribal Priority Allocation (TPA) Base work...cont

SG Reprogramming Request – 2nd Year and After Tribe Joins Self Governance

Self-Governance 2014 Funding Agreement - Reprogramming Request
 Title: JAMESSTOWN DILLIKUM TRIBE
 Office of Self-Governance
 BIA Tribal Organization Code: PD9129
 OS21 Tribal Compact Code: DQ92129
 BIA Area Office: PD0100 - NORTHWEST REGION
 BIA Agency Office: PD0100 - OLYMPIA PENNSYLVANIA

Line Item	Program Title	Cost Code	Info Tribal Share	OS21 Cumulative Base	OS21 Shortfall Base	OS21 Shortfall Request	D. BIA Reprogram Request	E=H+B+C+D	Total AFA
102	Nature Resources, General (UTB) - NON TPA	NSA10	0	0	0	0	0	0	0
108	Woods and Parks (UTB) - NON TPA	NSA40	0	1,303	0	0	0	1,303	1,303
143	Woods & Parks Program (UTB) - TPA/Region	NSE50	0	3,482	0	0	0	3,482	3,482
144	Woods & Parks Program (UTB) - TPA/Tribal	NSE50	0	116,308	0	0	0	116,308	116,308
161	Organic Support - NON TPA	RS210	0	0	0	0	0	0	0
167	Trust Services (UTB) - NON TPA	RSC10	0	0	0	0	0	0	0
168	Trust Services (UTB) - NON TPA	RSC40	0	0	0	0	0	0	0
173	Trust Services (UTB) - TPA/Region	RSA10	0	510	0	0	0	510	510
174	Trust Services (UTB) - TPA/Tribal	RSA10	0	100	0	0	0	100	100
177	Real Estate Services Program (UTB) - TPA/Agency	RSC70	0	-17	0	0	0	-17	-17
178	Real Estate Services Program (UTB) - TPA/Tribal	RSC70	0	0	0	0	0	0	0
187	Community Grants (shortfalls) - NON TPA	T3300	0	0	287,320	0	0	287,320	287,320
187	Community Grants - NON TPA	T3010	0	0	0	0	0	0	0
188	Tribal Government Services - NON TPA	TRE00	0	0	0	0	0	0	0
188	Tribal Government Services - NON TPA	TRE20	0	2,466	0	0	0	2,466	2,466
188	Other Aid to Tribal Government - OS21 TPA	TG200	0	2,200	0	0	0	2,200	2,200
192	Other Aid to Tribal Government - TPA/Agency	TG200	0	143,100	0	0	0	143,100	143,100
198	Self-Governance Compacts - TPA/Tribal	TG400	0	293,873	0	0	0	293,873	293,873
199	Self-Governance Compacts-Adjustments - TPA/Tribal	TG400	0	0	0	0	0	0	0
200	Compact Support - TPA/Region	TG370	0	0	0	1,184,884	1,184,884	1,184,884	1,184,884
205	Tribal Service Increase - TPA/Tribal	TG601	0	221,841	0	0	0	221,841	221,841
206	OS21 Pay Costs - TPA/Tribal	TG602	0	245,451	0	0	0	245,451	245,451
207	Assessment Adjustment - TPA/Tribal	TG603	0	5,200	0	0	0	5,200	5,200
Report Total				0	823,590	287,320	0	1,781,668	2,872,878

How Tribal Priority Allocation (TPA) Base work...cont

When Congress enacts Pay Cost associated with any of the programs within Self Governance TPA base, funds are allocated to the Pay Cost line item directly on the Reprogramming Request and not to the particular program associated with the increase as done in BIA.



Implementation of ATB Rescissions and Sequester

When Congress enacts an Across the Board (ATB) rescission, OSG allocates the reduction amount to the Self Governance Compacts line item directly on the Reprogramming Request and not to the particular program associated with the decrease as done in BIA.

Implementation of ATB Rescissions and Sequester...cont

Self Governance 2014 Funding Agreement - Reprogramming Request
 Tribe: JAMESTOWN ENKLALLAM TRIBE
 BIA Tribal Organization Code: P09129
 OIGS Tribal Contract Code: OIGS129
 BIA Area Office: P0100 - NORTHWEST REGION
 BIA Agency Office: P09100 - OCM/PC PENNSYLVANIA

Office of Self-Governance

Line Item	Program Title	Cost Code	Info Tribal Share	A OIGS Cumulative Base	B OIGS Shortfall Base	C OIGS Shortfall Request	D BIA Reprogram Request	E=A+B+C+D	Total AFA
122	Nature Resources, General (UTR) - NON TFA	NSA10	0	0	0	0	0	0	0
123	Waste and Parks (UTR) - NON TFA	NSA50	0	1,093	0	0	0	1,093	1,093
142	Waste & Parks Program (UTR) - TFA/Region	NS650	0	2,462	0	0	0	2,462	2,462
144	Waste & Parks Program (UTR) - TFA/Tribal	NS650	0	116,308	0	0	0	116,308	116,308
149	Litigation Support - NON TFA	R3210	0	0	0	0	0	0	0
157	Food Services (UTR) - NON TFA	R5C10	0	0	0	0	0	0	0
158	Food Services (UTR) - NON TFA	R5C40	0	0	0	0	0	0	0
173	Food Services (UTR) - TFA/Region	R5A10	0	510	0	0	0	510	510
174	Food Services (UTR) - TFA/Tribal	R5A10	0	100	0	0	0	100	100
177	Food Services Program (UTR) - TFA/Region	R5C70	0	-17	0	0	0	-17	-17
179	Food Services Program (UTR) - TFA/Tribal	R5C70	0	0	0	0	0	0	0
185	Self-Governance Grants (Contract) - NON TFA	T3300	0	0	287,320	0	0	287,320	287,320
187	Community Services, General - NON TFA	T5010	0	0	0	0	0	0	0
188	Food Government Services - NON TFA	T5020	0	0	0	0	0	0	0
189	All Other Aid to Tribal Government - NON TFA	T6020	0	2,496	0	0	0	2,496	2,496
192	Other Aid to Tribal Government - TFA/Agency	T9020	0	2,200	0	0	0	2,200	2,200
194	Other Aid to Tribal Government - TFA/Tribal	T9020	0	143,100	0	0	0	143,100	143,100
198	Self-Governance Contract - TFA/Tribal	T9240	0	-253,673	0	0	0	-253,673	-253,673
199	Self-Governance Contract-Adjustments - TFA/Tribal	T9240a	0	0	0	0	0	0	0

Implementation of ATB Rescissions and Sequester...cont

Same methodology was used for the FY 2013 Sequester of -5.04%.

PROGRAM TITLE	FY 2013 Req. Supp.	ATB Increase	Reserve Start Pay	FY 2013 Full Year Pay	Reverse ESI Pay Adjust	Reverse ESI Increase	Reverse Pay Adjust ESI Only	Subtotal	ATB Decrease	Subtotal	Sequester Reduction	FY13 Funding Available
COMMUNITY & ECON. DEVELOP.												
Health Maintenance												
HEALTH COMMUNITY DEVELOP.												
Executive Director												
Administrative Services												
Utility Management												
Customer Service Support												
REGISTRATION & ADMIN. SERV.												
TOTAL TOTAL	107,256,138	1,267,700	111,488	108,531,126	489,863	489,863	58,810	109,120,936	-1,267,700	107,853,236	-677,892	107,175,344

New Changes on SG Financial Operations

In FY 2013, BIA transitioned from the old Federal Financial System (FFS) to the Financial and Business Management System (FBMS). The following functions/areas changed with this transition.

- **Responsibilities of OSG Finance** – in the past BIA staff completed transactions in FFS with OSG’s authorization and monitoring. Now, OSG staff has to perform these transactions in FBMS. That includes, creating purchase requests, completing awards/obligations, and releasing awards.

New Changes on SG Financial Operations...cont

- **Payments** - FBMS eliminated the responsibility from BIA and OSG of processing payments. Tribes are now responsible for requesting payments under a Treasury system called Automated Standard Application for Payments (ASAP). www.asap.gov

- **Allocation and Obligation of Funds** – OSG was authorized to allocate and obligate all Operation of Indian Program (OIP) Non-UTB program funding into one single account (T9240). Under FBMS, funds outside of SG TPA base have to be allocated and obligated to the individual programs (up to 200+ programs).

New Changes on SG Financial Operations...cont

- **Allocation and Obligation of Funds...cont** – OSG was also authorized to allocate and obligate funds to one single organization (OSG/K800) and from there pay all SG Tribes. Under FBMS, OSG has to allocate and obligate funds to every single SG Tribe (up to the numbers of Agreements in a given FY-111 for FY14). Ex. Tribe XY Org Code is now AAK808820T

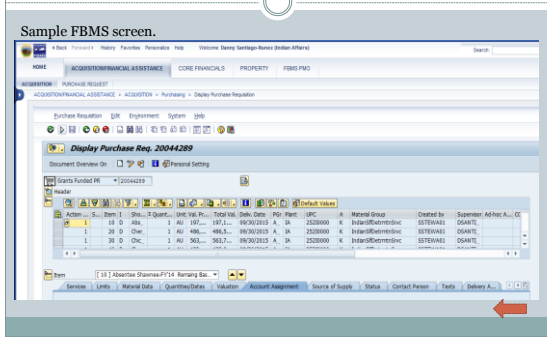
- **Program Codes** – Under FBMS program codes (now functional area) went from 5 to 16 digits. Ex. T9240 is now AOT924040.999900

New Changes on SG Financial Operations...cont

- **Reconciliation** – The reconciliation process increased from approximately 20 accounts (rollup) to up to 200+ program accounts.

- **SGDB** – OSG’s internal financial system is still in use for the benefit of the Tribes but has to be modified to be compatible with FBMS (i.e. program codes, award numbers, DUNS numbers, rollup accounts, etc.).

New Changes on SG Financial Operations...cont



Self Governance Database (SGDB)

www.64.58.34.34/osg/

Additional info: Please send your 2014 Welfare Assistance Expenditures Report (FASSR) to Ken Reinfeld at kenneth.reinfeld@bia.gov

Contact Information

QUESTIONS?

OSG-Finance@bia.gov

- 202.513.7707 / Lance Fisher
- 202.208.5955 / Celeste Engles
- 202.208.5073 / Rufina Villicaña
- 202.219.0245 / Danny Santiago
